

BAGARIA DERIVATIVE SERVICES PRIVATE LIMITED

FC-303 3rd FLOOR D MALL NETAJI SUBHASH PLACE PITAMPURA NEW DELHI 110034

Off. No.- 9099022288 / CIN: U93090DL2018PTC343130

Email Id.-bagariaderivative@gmail.com

Detailed policy for voluntary freezing/ blocking the online access of the trading account of the client

1) Introduction

Facility of voluntary freezing/blocking of Trading Accounts by Clients

With reference to SEBI circular **SEBI/HO/MIRSD/POD-1/P/CIR/2024/4** dated January 12, 2024

Many a times, investors raise issues of suspicious activities in their trading accounts and thus, there is an urgent need to address the situation of having a facility for blocking of trading accounts as it is available for blocking of ATM Cards and Credit Cards.

Similar facility of voluntary blocking/ freezing of demat accounts is already available for investors and this facility is now proposed to be offered to the investors for their trading accounts also.

2) Purpose

The policy aims to guide Bagaria Derivative Services Pvt. Ltd. clients on the process, modes, and other details for facilitating the voluntary freezing of their trading accounts to avoid any suspicious activity.

3) Scope and Applicability

This policy shall be applicable as and when clients intend to voluntary freeze / block access to their trading account and subsequently desires to unfreeze the same. This policy is the part of Risk Management Policy. This policy shall also form a part of the account opening kit for all new clients on boarded with effect from July 01, 2024.

4) Process & Procedure by the client to Voluntary freezing of online access of client's trading account

(I)Through Dedicated Email

Client may request by Dedicated Mail id - "stoptrade@bagariaonline.in" with the following details

Subject of the Email "Request for block the client trading a/c./ Request for re-enabling the client for trading".

- Client Code
- Client Name
- E-mail id
- Mobile no. (Registered)

(II)Through SMS

by register request via tele-confirmation at 011-41502005 & SMS on mobile no +91-9099022288

Subject of the SMS "Request for block the client trading a/c./ Request for re-enabling the client for trading".

- Client Code
- Client Name
- E-mail id
- Mobile no. (Registered)

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5) Process & Procedure by the Bagaria Derivative Services Pvt. Ltd. to Voluntary freezing/blocking & unfreezing/unblocking of online access of client's trading account

On receipt of such request, the access of the client's trading account shall be voluntary frozen/blocked & unfrozen/unblocked by the backend team within the stipulated time frame i.e. within 15 minutes at best effort basis as recommended by exchange .

6) Policy Review:

The said policy shall be a part of Bagaria Derivative Services Pvt. Ltd. Risk Management Policy and shall be reviewed along with the said policy as & when required.

7) Relevant circulars:-

SEBI/HO/MIRSD/POD-1/P/CIR/2024/4 dated January 12, 2024

MCX/INSP/218/2024 dated April 09, 2024

NSE/INSP/61529 dated April 08, 2024

NCDEX/COMPLIANCE-025/2024 dated April 09, 2024

For Bagaria Derivative services Pvt. Ltd.